

03-29-06

PCT #

EXPRESS MAIL LABEL NUMBER : EV 689313406

AP7 Rec'd PCT/PTO 28 MAR 2006

Form PTO-1390  
(REV.11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

RN03129

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED (DO/EO/US) CONCERNING A  
FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN)

NOT APPLICABLE 10/573846

INTERNATIONAL APPLICATION NO.

PCT/FR2004/002461

INTERNATIONAL FILING DATE

September 29, 2004

PRIORITY DATE CLAIMED

September 29, 2003

TITLE OF INVENTION

EMULSIONS COMPRISING A DENDRITIC POLYMER AND USE OF A DENDRITIC  
POLYMER AS AN EMULSIFICATION AGENT

APPLICANT(S) FOR DO/EO/US:

Mikel MORVAN, Alain SENECHAL, Olivier ANTHONY, Franck TOURAUD, Jean-François SASSI,  
Katerina KARAGIANNI and René ROSSIN

Applicant herewith submits the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and 24 indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **unsigned**
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210)

Items 13 to 18 below concern document(s) or information included:

13. ☐ An information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail.
23. ☒ Other items or information:
 

☐ Form PCT/IB/308 ☐ Form PCT/IB/332 ☒ Form PCT/ISA/210 French version ☒ Form PCT/ISA/210 English Version  
☐ PCT/ISA/237  
☒ PCT/FR2004/002461 as published

U.S. APPLICATION NO. (IF KNOWN)

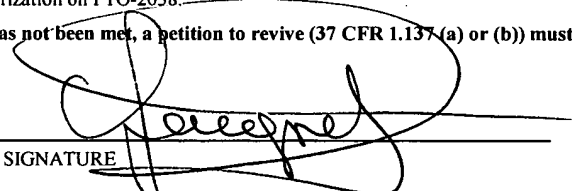
INTERNATIONAL APPLICATION NO.

ATTORNEYS DOCKET NUMBER

032806

17224 U.S. PTO

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NOT APPLICABLE		PCT/FR2004/002461		RN03129	
24. The following fees are submitted:				CALCULATIONS PTO ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):					
<input checked="" type="checkbox"/>	a) Basic National Fee.....		\$300.00		
<input checked="" type="checkbox"/>	b) examination fee .....		\$200.00		
<input checked="" type="checkbox"/>	c) Search Fee.....		\$500.00		
<input type="checkbox"/>	Additional fee for specification and drawings filed in paper over 100 sheets The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.....		\$0.00		
Total of above calculation.....			\$1000.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	17 - 20 =	0	X \$50.00	\$0.00	
Independent Claims	1 - 3 =	0	X \$200.00	\$0.00	
Multiple Dependent Claims (Check if applicable)			+ \$360.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$0.00	
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.127). The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$0.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$0.00	
TOTAL NATIONAL FEE =				\$1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable)			<input type="checkbox"/>	\$0.00	
TOTAL FEES ENCLOSED =				\$Amount	
				Amount to be: refunded	\$
				charged	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <b>18-1171</b> in the amount of <b>\$1,000.00</b> to cover the above fees.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>18-1171</b>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>					
SEND ALL CORRESPONDANCE TO					
Jean-Louis SEUGNET					
RHODIA INC.					
8 Cedar Brook Drive					
CN 7500, CRANBURY, NJ 08512-7500					
 SIGNATURE Jean-Louis SEUGNET NAME Reg. No. L0088 REGISTRATION NUMBER March 28, 2006 DATE					

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>		<b>Docket No.</b> <b>RN03129</b>	
<b>Applicant(s): Mikel MORVAN, Alain SENECHAL, Olivier ANTHONY, Franck TOURAUD, Jean-François SASSI, Katerina KARAGIANNI and René ROSSIN</b>			
<b>Serial No.</b> <b>National Phase of</b> PCT/FR2004/002461 Intern. Filing date September 29, 2004	<b>Filing Date</b> <b>To be assigned</b>	<b>Examiner</b> <b>To be assigned</b>	<b>Group Art Unit</b> <b>To be assigned</b>
<b>Invention: EMULSIONS COMPRISING A DENDRITIC POLYMER AND USE OF A DENDRITIC POLYMER AS AN EMULSIFICATION AGENT</b>			
I hereby certify that this <input checked="" type="checkbox"/> Transmittal letter sending documents referred to herein <input checked="" type="checkbox"/> Specification with Abstract ( 68 pages) <input type="checkbox"/> Drawings ( 0 pages) <input checked="" type="checkbox"/> Declaration/Power of Attorney ( <input type="checkbox"/> signed <input checked="" type="checkbox"/> unsigned), <input type="checkbox"/> Information Disclosure Statement with copies of references <input checked="" type="checkbox"/> Preliminary Amendment ( 10 pages) <input checked="" type="checkbox"/> PCT/FR2004/002461 as published <input type="checkbox"/> Signed Assignment and Recordation Sheet <input checked="" type="checkbox"/> Other: <input checked="" type="checkbox"/> Form PCT/IB/308 <input checked="" type="checkbox"/> Form PCT/ISA/210 English Version <input type="checkbox"/> PCT/ISA/237 <input checked="" type="checkbox"/> Form PCT/ISA/210 French Version			
(Identify type of correspondence)  is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450, on: <div style="margin-left: 100px;"> <b>March 28, 2006</b>  <hr style="width: 200px; margin-left: 0;"/> <i>Date</i> </div> <div style="margin-left: 350px; margin-top: 20px;"> <b>Jean-Louis BUGNET</b>  <hr style="width: 200px; margin-left: 0;"/> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>    <hr style="width: 200px; margin-left: 0;"/> <i>(Signature of Person Mailing Correspondence)</i>  <div style="margin-top: 10px;"> <b>EV 689313406 US</b>  <hr style="width: 200px; margin-left: 0;"/> <i>("Express Mail" Mailing Label Number)</i> </div> </div>			
<b>Note: Each Paper must have its own certificate of mailing.</b>			